

## WITTEN DEFORMATION and WITTEN LAPLACIANS

(Summary)

### Calculus on a oriented Riemannian manifold $M^n$

All spaces  $\Omega^q(M)$  are Frechet spaces when equipped with the family of norms  $\|\cdot\|_r$  or with the norms induced from the Sobolev scalar products  $(\cdot, \cdot)_k$  and the differential operators are continuous w.r. to the Frechet topology.

- $\Omega^r(M)$ , the  $\Omega^0(M)$ – module of smooth  $r$ –differential forms,
- $d_q : \Omega^r(M) \rightarrow \Omega^{r+1}(M)$  exterior derivative, order one differential operator ( $d(\omega \wedge \omega') = d(\omega) \wedge \omega' + (-1)^{\deg \omega} \omega \wedge d\omega'$ )
- For  $X$  smooth vector field  $\iota_X : \Omega^r(M) \rightarrow \Omega^{r-1}(M)$  the  $X$ –contraction. zero order differential operator
- $L_X : \Omega^r(M) \rightarrow \Omega^r(M)$  order one differential operator ( $\iota_X(\omega \wedge \omega') = \iota_X(\omega) \wedge \omega' + (-1)^{\deg \omega} \omega \wedge \iota_X \omega'$ )

$$L_X := d \cdot \iota_X + \iota_X \cdot d$$

equivalently  $L_X(\omega) = d/dt|_{t=0}(\varphi_t^*(\omega))$ ,  $\varphi_t : M \rightarrow M$  the flow of  $X$ ,

- For  $\alpha \in \Omega^1$ ,  $\Lambda_\alpha : \Omega^r(M) \rightarrow \Omega^{r+1}(M)$ . ( $\omega \rightsquigarrow \alpha \wedge \omega$ ) zero order differential operator

### Riemannian metric on an closed oriented smooth manifold

given by the collections  $*_q : \Omega^q(M) \rightarrow \Omega^{n-q}(M)$  zero order differential operators such that

$$*_{n-q} \cdot *_q = (-1)^{q(n-q)} Id$$

and

$$(\omega, \omega') := \int_M \omega \wedge *_q \omega'$$

is a scalar product for any  $q$ .

Define

$$d_q^\sharp := (-1)^{n(q+1)+1} *_q \cdot d_{n-q-1} \cdot *_q : \Omega^{q+1}(M) \rightarrow \Omega^q(M)$$

order one differential operator, the formal adjoint of  $d_q$

$$\Delta_r := d_r^\sharp \cdot d_r + d_{r-1} \cdot d_{r-1}^\sharp = (d + d^\sharp)^2 : \Omega^r(M) \rightarrow \Omega^r(M)$$

self-adjoint order 2 (elliptic) differential operator

$$(\omega, \omega')_{2k} := ((I + \Delta)^k \omega, (I + \Delta)^k \omega')$$

a new scalar product for any  $k$ . and note that

1.  $d \cdot \Delta = \Delta \cdot d$
2.  $d^\sharp \cdot \Delta = \Delta \cdot d^\sharp$
3.  $* \cdot \Delta = \Delta \cdot *$

Define

1.  $\Omega^q(M)_+ := \text{img} d_{q-1} \subset \Omega^q(M)$

2.  $\Omega^q(M)_- := \text{img} d_q^\sharp \subset \Omega^q(M)$
3.  $H^q := \ker d_r \cap \ker d_{r-1}^\sharp \subset \Omega^q(M)$

**Theorem 0.1** - All subspaces  $\Omega^q(M)_-$ ,  $\Omega^q(M)_+$ ,  $H^q$  are closed subspaces w.r. to the  $C^\infty$ -topology, and mutually orthogonal w.r. to all scalar products

and  $\Omega^q = \Omega^q(M)_- \oplus H^q \oplus \Omega^q_+$ ,

-  $H^q$  is canonically isomorphic to de-Rham cohomology,

- The equation  $d\omega(t)/dt = -\Delta_q\omega(t)$  in the Frechet space  $\Omega^q(M)$  with the initial condition  $\omega(0) = \omega \in \Omega^q(M)$  has always unique solution  $\omega(t)$  and  $Pr(\omega) = \lim_{t \rightarrow \infty} \omega(t)$

Any differential operator  $A : \Omega^q \rightarrow \Omega^{q'}$  has a formal adjoint  $A^\sharp : \Omega^{q'}(M) \rightarrow \Omega^q(M)$  of the same order defined by the property

$$(A(\omega), \omega') = (\omega, A^\sharp \omega').$$

Additional formal adjoints:

1.  $\iota_X^\sharp := (-1)^{\dots} * \cdot \iota_X \cdot *$
2.  $\Lambda \alpha_X^\sharp := (-1)^{\dots} * \cdot \Lambda \alpha \cdot *$
3.  $L_X^\sharp := (-1)^{\dots} * \cdot L_X \cdot *$
4.  $(f \cdot)^\sharp = (f \cdot)$

**Proposition 0.2**

1.  $\Lambda_{df}^\sharp = (-1)^{\dots} * \Lambda_{df} \cdot * = \iota_{gradf}$
2.  $\iota_{gradf}^\sharp = (-1)^{\dots} * \iota_{gradf} \cdot * = \Lambda_{df}$
3.  $\iota_{gradf} \cdot \Lambda_{df} + \Lambda_{df} \cdot \iota_{gradf} = ||gradf||^2 Id = ||df||^2 Id$
4.  $L_X^\sharp = (-1)^{\dots} * \cdot L_X \cdot *$  and  $L_X + L_X^\sharp$  is zero order differential operator.

The sign  $(-1)^{\dots}$  can be derived from "adjunction property".

Note the zero order differential operators are linear w.r. to the  $\Omega^0(M)$ -module structure

*Proof:* It suffices to check the above statements in coordinates, hence for  $M = \mathbb{R}^n$  as indicated below.

For  $M = \mathbb{R}^n$  a Riemannian metric is given either by

(1) an  $n \times n$ -matrix with entries  $g_{i,j}$  smooth functions in  $n$ -variables,  $x_1, x_2, \dots, x_n$  with  $g_{i,j} = g_{j,i}$  and  $\det g_{i,j} \neq 0$ , equivalently the matrix  $g^{k,l}$ , the inverse of the matrix  $g_{r,k}$ , in which case for

$\omega = \sum_{i_1, i_2, \dots, i_k} \omega_{i_1, i_2, \dots, i_k} dx_{i_1} \wedge dx_{i_2} \wedge \dots \wedge dx_{i_k}$  and  $\omega' = \sum_{j_1, j_2, \dots, j_k} \omega'_{j_1, j_2, \dots, j_k} dx_{j_1} \wedge dx_{j_2} \wedge \dots \wedge dx_{j_k}$ ) one has

$$(\omega, \omega') := \sum_{I, J} \omega_{i_1, i_2, \dots, i_k} \omega'_{j_1, j_2, \dots, j_k} g^{i_1, j_1} g^{i_2, j_2} \dots g^{i_k, j_k}$$

with  $I = \{i_1, i_2, \dots, i_k\}$ ,  $J = \{j_1, j_2, \dots, j_k\}$ .

(2) the zero order operators  $*_q : \Omega^q(\mathbb{R}^n) \rightarrow \Omega^{n-q}(\mathbb{R}^n)$  with the property  $*_{n-q} \cdot *_q = (-1)^{(n-q)q} Id$ . and  $(\omega, \omega') := \int \omega \wedge *_q \omega'$ ,  $\omega, \omega' \in \Omega^q(M)$  is a scalar product for any  $q$ .

Indeed, given the operators  $*'_q$ s one derives the matrix

$$g^{i,j} := *(dx_i \wedge *_q dx_j)$$

and given the matrix  $g_{i,j}$ , one defines the operators  $*_q$  by

$$(*\omega)_{i_1, i_2, \dots, i_{n-q}} = 1/\sqrt{\det(g_{i,j})} \sum_{\sigma \in S_n} \epsilon(\sigma) \omega_{\sigma(1), \sigma(2), \dots, \sigma(q)} g_{\sigma(q+1), i_1} g_{\sigma(q+2), i_2} \cdots g_{\sigma(n), i_{n-q}}$$

where  $S_n$  is the symmetric group and  $\epsilon(\sigma)$  the sign of the permutation  $\sigma$ .

Note also that the formal adjoints  $\partial_i^\sharp, \Lambda_i^\sharp, \iota_i^\sharp$  of the zero order operators  $\partial_i$  the component-wise partial derivative w.r. to  $x_i, \Lambda_i := \Lambda_{dx_i}$  and  $\iota_i := \iota_{\partial/\partial x_i}$  satisfy

1.

$$(\partial_j)^\sharp = -(\partial_j) + A$$

with  $A = -1/2 \partial(\ln |g|)/\partial x_j - \sum_{a,b} (\partial g^{a,b}/\partial x_j) \iota_a^\sharp \cdot \iota_b$  a zero order differential operator.

2.

$$\begin{aligned} \iota_k^\sharp &= \sum_{j=1}^n g_{k,j} \Lambda_j, & \Lambda_j &= \sum_{l=1}^n g^{j,l} \cdot \iota_l^\sharp \\ \Lambda_{df} &= \sum_{j=1}^n \partial f / \partial x_j \Lambda_j, & \Lambda_{df}^\sharp &= \sum_{j=1}^n \partial f / \partial x_j \Lambda_j^\sharp \end{aligned} \tag{1}$$

and

$$\begin{aligned} d &= \sum_{j=1}^n \Lambda_j \cdot \partial_j = \sum_{j=1}^n \partial_j \cdot \Lambda_j \\ d^\sharp &= \sum_{j=1}^n \partial_j^\sharp \cdot \Lambda_j^\sharp \end{aligned}$$

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### Witten deformation

Consider the cochain complex  $\Omega^q(M), d_q$  and the multiplication by  $e^{th}, e^{tf}; \Omega^q(M) \rightarrow \Omega^q(M)$  which induces the isomorphism of cochain complexes

$$e^{tf} : (\Omega^*(M), d_*(t)) \rightarrow (\Omega^*(M), d_*)$$

where  $d(t) = e^{-tf} d e^{tf} = d + t \Lambda_{df}$  and  $d^\sharp(t) := d^\sharp + t \iota_{gradf}$

#### Witten Laplacians

Define  $\Delta_q^f(t) := d^\sharp(t) \cdot d(t) + d(t) \cdot d^\sharp(t)$

Hence by calculations, and in view of Proposition above one has

$$\Delta_q(t) = \Delta_q + t(L_X + L_X^\sharp) + t^2 \|\text{grad}f\|^2 Id$$

with  $(L_X + L_X^\sharp)$  order zero operator.

Since  $\Delta_q(t)$  is a selfadjoint operator of polynomial form with coefficients self-adjoint operators, in view of a Theorem (of Rellich and Kato) one has

**Theorem 0.3** For any  $q$  there exists the pairs of analytic real valued resp  $q$ -forms valued maps  $(\lambda_\alpha^q(t) \in \mathbb{R}, \omega_\alpha^q(t) \in \Omega^q(M))$ , with  $\alpha$  in a countable collection of indices such that :

$$\Delta_q(t)(\omega_\alpha(t)) = \lambda_\alpha(t)\omega_\alpha(t), \|\omega_\alpha(t)\| = 1$$

with  $\lambda_\alpha(t)$  exhausting the set of all eigenvalues with multiplicity of  $\Delta_q(t)$  and  $\omega_\alpha^q(t)$  forming a complete set of orthonormal eigenvectors for the Hilbert space completion of  $\Omega^q(M)$ .

Moreover,  $\lambda_\alpha^q(t_0) = 0$  for one  $t_0$  implies  $\lambda_\alpha^q(t) = 0$  for all  $t$  and exactly  $\dim H^q(M : \mathbb{R})$  eigenbranches  $\lambda_\alpha^q(t)$  which are identically zero and all other are strictly positive for any  $t$ .

It can be shown (see Haller) that  $\lambda_\alpha^q(t) \leq O(t^2)$  and  $\lim_{t \rightarrow \infty} \lambda_\alpha^q(t) = \mu \in \mathbb{R}$ .

Reference :

1. Perturbation theory for linear operators , T Kato, Springer Verlag edition 1976,
2. Analytic eigenbranches in semiclassical limit, by S. Haller in Complex Anal. and Operator theory Vol 14 , 2020 , 52